



7/A
L. Lewis
10/16/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : Diane K. Smith
FOR : Software for Financial Institution Monitoring
and Management
SERIAL NO. : 09/965,981
FILED : 09/28/2001
EXAMINER : Jeffrey C. Pwu
ART UNIT : 3624
LAST OFFICE ACTION : July 3, 2002
ATTORNEY DOCKET NO. : 47117/14489

Cleveland, Ohio 44115-1475
October 3, 2002

AMENDMENT

Assistant Commissioner of Patents
BOX Non-Fee Amendment
Washington, DC 20231

RECEIVED
OCT 15 2002
GROUP 3600

Dear Sir:

This amendment is in response to the Office Action dated July 3, 2002. The three month shortened statutory time period to respond to this Office Action expires October 3, 2002

Please amend the above-identified application as follows:

IN THE SPECIFICATION:

Please change the Title of the Application to: Software For Financial Institution Monitoring and Management And For Assessing Risk For A Financial Institution

IN THE CLAIMS: